

Sector 13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )

JAMES D. MARKS ET AL. )

Serial No.: 09/249,529 )

Filed: February 12, 1999 )

For: METHODS OF SELECTING )  
INTERNALIZING ANTIBODIES )

Attention:

BOX MISSING PARTS

San Francisco, California

BOX MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner of Patents, Washington, D.C. 20231 on June 4, 1999.

Tammy L. Kaplan

Signature

Date

TRANSMITTAL OF MISSING PARTS

Sir:

Transmitted herewith are the missing parts of the above-identified application:

1. A Patent Application Declaration for each inventor.
2. A Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. 3.71.
3. A Certificate Under 37 C.F.R. § 3.73(b) with a copy of each Assignment document.
4. Three Assignment documents by the Inventors to THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, Form PTO-1595, and a check in the amount of \$120.00 to cover their recordal fee.

- #3
5. A copy of the Notice to File Missing Parts of Application (Part 2), and a check in the amount of \$65.00 to cover the missing parts surcharge.
  6. A Petition for Extension of Time and a check in the amount of \$55.00 to cover the one-month extension fee.
  7. A Declaration Claiming Small Entity Status - Small Business Concern.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.

Dated: June 4, 1999.

Respectfully submitted,

By: 

J. Suzanne Siebert, Reg. No. 28,758  
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.  
Four Embarcadero Center, Suite 1100  
San Francisco, California 94111-4106  
Telephone: (415) 248-5500  
Facsimile: (415) 362-5418

Atty. Docket: 2500.116US1



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/249,529	02/12/99	MARKS	J 02307E-08521 2500,

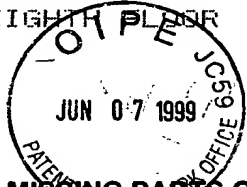
020350 0232/0304  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER EIGHTH FLOOR  
SAN FRANCISCO CA 94111

NOT ASSIGNED

1643

DATE MAILED:

03/04/99



**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ~~\$65.00~~ for a small entity in compliance with 37 CFR 1.27, or ~~\$130.00~~ for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00 65.00

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*[Signature]*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

RECEIVED  
JUN 10 1999

06/09/1999 STEERRA 0000064 0249529 00000000  
01 06:205